

Practitioner's Docket No. 789_056

PATENT

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on September 29, 2000 under "EXPRESS MAIL" mailing label number EL692672721US.

Elizabeth A. VanAntwerp

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor 1: Yukihisa TAKEUCHI
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya-City, Aichi-Prefecture 467-8530
Japan

Inventor 2: Kazuyoshi SHIBATA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya-City, Aichi-Prefecture 467-8530
Japan

Title: PIEZOELECTRIC/ELECTROSTRICTIVE DEVICE

1. Papers enclosed

A. Required (in Japanese) for filing date under 37 CFR 1.53(b) (Regular) are:

- 14 Page(s) of Specification
- 1 Page(s) of Claims
- 1 Page(s) of Abstract
- 12 Sheets of Formal Drawings (Figs. 1-12)

B. Other Papers Enclosed

- ☐ A Preliminary Amendment
- ☒ An Information Disclosure Statement and Identification of Copending Applications
- ☒ PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and certified copies of:

Japanese Application 11-281522 filed October 1, 1999; and
Japanese Application 2000-056434 filed March 1, 2000

- ☒ An Assignment Transmittal and Assignment of the invention to
NGK Insulators, Ltd.

3. Small Entity Status

- ☐ A statement that this filing is by a small entity is attached.
☐ A separate refund request accompanies this paper.
☐ was filed on _____ (original).

4. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 690.00
(37 C.F.R. 1.16(a) --\$690.00; Small Entity--\$345.00)
- B. Fees for Claims (5 Claims; 1 Indep.)
☐ each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b)--\$78.00; small entity--\$39.00)
☐ each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)
☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00)
- C. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
- D. ☐ Petition and fee for filing by other than all the \$.00
inventors or a person not the inventor
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
- E. ☒ Fee for processing an application filed with a \$ 130.00
specification in a non-English language
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
- F. ☒ Assignment Fee \$ 40.00

Total Fees Due \$ 990.00

006260" 40E/2960

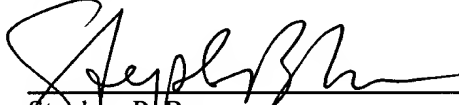
**5. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
☐ The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to Deposit Account No.
50-1446. A duplicate copy of this sheet is enclosed.
☐ Any additional filing fees required under 37 CFR 1.16.
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

September 29, 2000

Date



Stephen P. Burr
Reg. No. 32,970

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone:(315) 233-8300
Facsimile:(315) 233-8320

006260-4054960

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

PTO-1556
(5/87)

*U.S. GPO: 1999-459-082/19144